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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION Form PTO-1449 | Docket Number | Application Number |
| | 23512-006-401 | 09/917,810 |
| | Applicant(s) | |
| | Michael George BRUCE, Sr., <i>et al.</i> | |
| | Filing Date | Group Art Unit |
| | July 31, 2001 | 2161 |

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| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------|--------------|---------------------|-------------------------|-------|----------|----------------------------------|
| NV | 1A | 3,938,091 | 02/10/1976 | Atalla <i>et al.</i> | 340 | 149 | |
| | 1B | 4,799,156 | 01/17/1989 | Shavit <i>et al.</i> | 364 | 401 | |
| | 1C | 4,941,090 | 07/10/1990 | McCarthy | 364 | 405 | |
| | 1D | 4,984,155 | 01/08/1991 | Geier <i>et al.</i> | 364 | 401 | |
| | 1E | 4,992,940 | 02/12/1991 | Dworkin | 364 | 401 | |
| | 1F | 5,117,355 | 05/26/1992 | McCarthy | 364 | 405 | |
| | 1G | 5,136,501 | 08/04/1992 | Silverman <i>et al.</i> | 364 | 408 | |
| | 1H | 5,202,826 | 04/13/1993 | McCarthy | 364 | 405 | |
| | 1I | 5,283,731 | 02/01/1994 | Lalonde <i>et al.</i> | 364 | 405 | |
| | 1J | 5,287,268 | 02/15/1994 | McCarthy | 364 | 405 | |
| | 1K | 5,319,542 | 06/07/1994 | King, Jr. <i>et al.</i> | 364 | 401 | |
| | 1L | 5,451,998 | 09/19/1995 | Hamrick | 348 | 13 | |
| | 1M | 5,453,601 | 09/26/1995 | Rosen | 235 | 379 | |
| | 1N | 5,455,407 | 10/03/1995 | Rosen | 235 | 401 | |
| | 1O | 5,515,268 | 05/07/1996 | Yoda | 364 | 401 | |
| | 1P | 5,557,518 | 09/17/1996 | Rosen | 364 | 408 | |
| | 1Q | 5,590,197 | 12/31/1996 | Chen <i>et al.</i> | 380 | 24 | |
| | 1R | 5,592,375 | 01/07/1997 | Salmon <i>et al.</i> | 395 | 207 | |
| | 1S | 5,592,378 | 01/07/1997 | Cameron <i>et al.</i> | 395 | 227 | |
| | 1T | 5,640,002 | 06/17/1997 | Ruppert <i>et al.</i> | 235 | 472 | |
| | 1U | 5,646,992 | 07/08/1997 | Subler <i>et al.</i> | 380 | 4 | |
| | 1V | 5,664,110 | 09/02/1997 | Green <i>et al.</i> | 705 | 26 | |
| | 1W | 5,666,493 | 09/09/1997 | Wojcik <i>et al.</i> | 705 | 26 | |
| | 1X | 5,671,279 | 09/23/1997 | Elgamal | 380 | 23 | |
| | 1Y | 5,671,364 | 09/23/1997 | Turk | 395 | 239 | |
| | 1Z | 5,692,132 | 11/25/1997 | Hogan | 395 | 227 | |
| | 1AA | 5,694,551 | 12/02/1997 | Doyle <i>et al.</i> | 395 | 226 | |
| | 1BB | 5,710,887 | 01/20/1998 | Chelliah <i>et al.</i> | 395 | 226 | |
| 1CC | 5,715,314 | 02/03/1998 | Payne <i>et al.</i> | 380 | 24 | | |

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| | REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations | |
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| | | | | | | | YES | NO |
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| 1DD | Goldfarb, Charles F., <i>et al.</i> , "The XML Handbook," Chapter 38, Prentice Hall PTR, 1998, pages 555-568. |
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| EXAMINER | DATE CONSIDERED |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | |



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| Form PTO-1449 | | 23512-006-401 | | 09/917,810 | | | |
| | | Applicant(s) | | | | | |
| | | Michael George BRUCE, Sr., <i>et al.</i> | | | | | |
| | | Filing Date | | Group Art Unit | | | |
| | | July 31, 2001 | | 2161 | | | |
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| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| NV | 2A | 5,715,399 | 02/03/1998 | Bezos | 395 | 227 | |
| | 2B | 5,715,400 | 02/03/1998 | Reimer <i>et al.</i> | 395 | 227 | |
| | 2C | 5,727,048 | 03/10/1998 | Hiroshima <i>et al.</i> | 379 | 93.12 | |
| | 2D | 5,727,163 | 03/10/1998 | Bezos | 395 | 227 | |
| | 2E | 5,729,594 | 03/17/1998 | Klingman | 379 | 93.12 | |
| | 2F | 5,742,768 | 04/21/1998 | Gennaro <i>et al.</i> | 295 | 200.33 | |
| | 2G | 5,745,681 | 04/28/1998 | Levine <i>et al.</i> | 395 | 200.3 | |
| | 2H | 5,745,886 | 04/28/1998 | Rosen | 705 | 39 | |
| | 2I | 5,754,656 | 05/19/1998 | Nishioka <i>et al.</i> | 380 | 25 | |
| | 2J | 5,757,917 | 05/26/1998 | Rose <i>et al.</i> | 380 | 25 | |
| | 2K | 5,758,328 | 05/26/1998 | Giovannoli | 705 | 26 | |
| | 2L | 5,774,870 | 06/30/1998 | Storey | 705 | 14 | |
| | 2M | 5,774,874 | 06/30/1998 | Veeneman <i>et al.</i> | 705 | 27 | |
| | 2N | 5,781,632 | 07/14/1998 | Odorn | 380 | 24 | |
| | 2O | 5,790,677 | 08/04/1998 | Fox <i>et al.</i> | 380 | 24 | |
| | 2P | 5,794,207 | 08/11/1998 | Walker <i>et al.</i> | 705 | 23 | |
| | 2Q | 5,794,219 | 08/11/1998 | Brown | 705 | 37 | |
| | 2R | 5,799,087 | 08/25/1998 | Rosen | 380 | 24 | |
| | 2S | 5,799,285 | 08/25/1998 | Klingman | 705 | 26 | |
| | 2T | 5,799,289 | 08/25/1998 | Fukushima <i>et al.</i> | 705 | 400 | |
| | 2U | 5,802,497 | 09/01/1998 | Manasse | 705 | 27 | |
| | 2V | 5,806,044 | 09/08/1998 | Powell | 705 | 14 | |
| | 2W | 5,809,144 | 09/15/1998 | Sirbu <i>et al.</i> | 380 | 25 | |
| | 2X | 5,812,668 | 09/22/1998 | Weber | 380 | 24 | |
| | 2Y | 5,818,914 | 10/06/1998 | Fujisaki | 379 | 93.12 | |
| | 2Z | 5,822,737 | 10/13/1998 | Ogram | 705 | 26 | |
| | 2AA | 5,825,881 | 10/20/1998 | Colvin, Sr. | 380 | 24 | |
| | 2BB | 5,826,241 | 10/20/1998 | Stein <i>et al.</i> | 705 | 26 | |
| 2CC | 5,826,242 | 10/20/1998 | Montulli | 705 | 27 | | |
| 2DD | 5,826,245 | 10/20/1998 | Sandberg-Diment | 705 | 44 | | |
| 2EE | 5,832,457 | 11/03/1998 | O'Brien <i>et al.</i> | 705 | 14 | | |
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| REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations YES NO | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
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DATE CONSIDERED

6/20/05

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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION Form PTO-1449 | Docket Number | Application Number |
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| | July 31, 2001 | 2161 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|--------------|------------|------------------------|-------|----------|----------------------------------|
| NV | 3A | 5,832,459 | 11/03/1998 | Cameron <i>et al.</i> | 705 | 26 | |
| | 3B | 5,835,712 | 11/10/1998 | DuFresne | 395 | 200.33 | |
| | 3C | 5,835,896 | 11/10/1998 | Fisher <i>et al.</i> | 705 | 37 | |
| | 3D | 5,839,117 | 11/17/1998 | Cameron <i>et al.</i> | 705 | 27 | |
| | 3E | 5,848,399 | 12/08/1998 | Burke | 705 | 27 | |
| | 3F | 5,850,446 | 12/15/1998 | Berger <i>et al.</i> | 380 | 24 | |
| | 3G | 5,864,822 | 01/26/1999 | Baker, III | 705 | 14 | |
| | 3H | 5,864,829 | 01/26/1999 | Tago | 705 | 39 | |
| | 3I | 5,870,716 | 02/09/1999 | Sugiyama <i>et al.</i> | 705 | 26 | |
| | 3J | 5,870,717 | 02/09/1999 | Wiecha | 705 | 26 | |
| | 3K | 5,870,722 | 02/09/1999 | Albert <i>et al.</i> | 705 | 39 | |
| | 3L | 5,878,215 | 03/02/1999 | Kling <i>et al.</i> | 395 | 200.37 | |
| | 3M | 5,884,309 | 03/16/1999 | Vanechanos, Jr. | 707 | 10 | |
| | 3N | 5,889,863 | 03/30/1999 | Weber | 380 | 25 | |
| | 3O | 5,890,137 | 03/30/1999 | Koreeda | 705 | 26 | |
| | 3P | 5,890,138 | 03/30/1999 | Godin <i>et al.</i> | 705 | 26 | |
| | 3Q | 5,890,139 | 03/30/1999 | Suzuki <i>et al.</i> | 705 | 27 | |
| | 3R | 5,895,454 | 04/20/1999 | Hamington | 705 | 26 | |
| | 3S | 5,897,621 | 04/27/1999 | Boesch <i>et al.</i> | 705 | 26 | |
| | 3T | 5,897,622 | 04/27/1999 | Blinn <i>et al.</i> | 705 | 26 | |
| | 3U | 5,899,980 | 05/04/1999 | Wilf <i>et al.</i> | 705 | 26 | |
| | 3V | 5,901,229 | 05/04/1999 | Fujisaki <i>et al.</i> | 380 | 30 | |
| | 3W | 5,901,287 | 05/04/1999 | Bull <i>et al.</i> | 395 | 200.48 | |
| | 3X | 5,903,878 | 05/11/1999 | Talati <i>et al.</i> | 705 | 26 | |
| | 3Y | 5,903,880 | 05/11/1999 | Biffar | 705 | 39 | |
| | 3Z | 5,905,973 | 05/18/1999 | Yonezawa <i>et al.</i> | 705 | 27 | |
| | 3AA | 5,905,975 | 05/18/1999 | Ausubel | 705 | 37 | |
| | 3BB | 5,909,023 | 06/01/1999 | Ono <i>et al.</i> | 235 | 380 | |
| | 3CC | 5,909,492 | 06/01/1999 | Payne <i>et al.</i> | 380 | 24 | |
| | 3DD | 5,913,203 | 06/15/1999 | Wong <i>et al.</i> | 705 | 39 | |
| NV | 3EE | 5,915,244 | 06/22/1999 | Jack <i>et al.</i> | 705 | 14 | |

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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER <i>David V. y</i> | DATE CONSIDERED <i>6/20/02</i> |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | |



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| Form PTO-1449 | | | | 23512-006-401 | 09/917,810 | | | |
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| | | | | Michael George BRUCE, Sr., et al. | | | | |
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| | | | | July 31, 2001 | 2161 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| NV | 4A | 5,918,211 | 06/29/1999 | Sloane | 705 | 16 | | |
| | 4B | 5,918,213 | 06/29/1999 | Bernard et al. | 705 | 26 | | |
| | 4C | 5,920,629 | 07/06/1999 | Rosen | 380 | 24 | | |
| | 4D | 5,924,080 | 07/13/1999 | Johnson | 705 | 26 | | |
| | 4E | 5,926,798 | 07/20/1999 | Carter | 705 | 26 | | |
| | 4F | 5,926,548 | 07/20/1999 | Okamoto | 380 | 24 | | |
| | 4G | 5,931,917 | 08/03/1999 | Nguyen et al. | 709 | 250 | | |
| | 4H | 5,933,811 | 08/03/1999 | Angles et al. | 705 | 14 | | |
| | 4I | 5,933,814 | 08/03/1999 | Rosenberg | 705 | 26 | | |
| | 4J | 5,937,391 | 08/10/1999 | Ikeda et al. | 705 | 14 | | |
| | 4K | 5,937,394 | 08/10/1999 | Wong et al. | 705 | 26 | | |
| | 4L | 5,940,479 | 08/17/1999 | Guy et al. | 379 | 93.01 | | |
| | 4M | 5,943,424 | 08/24/1999 | Berger et al. | 380 | 25 | | |
| | 4N | 5,946,665 | 08/31/1999 | Suzuki et al. | 705 | 26 | | |
| | 4O | 5,953,423 | 09/14/1999 | Rosen | 380 | 24 | | |
| | 4P | 5,954,640 | 09/21/1999 | Szabo | 600 | 300 | | |
| | 4Q | 5,956,483 | 09/21/1999 | Grate et al. | 395 | 200.33 | | |
| | 4R | 5,956,693 | 09/21/1999 | Geerlings | 705 | 14 | | |
| | 4S | 5,956,695 | 09/21/1999 | Carrithers et al. | 705 | 14 | | |
| | 4T | 5,956,699 | 09/21/1999 | Wong et al. | 705 | 39 | | |
| | 4U | 5,956,709 | 09/21/1999 | Xue | 707 | 3 | | |
| | 4V | 5,960,411 | 09/28/1999 | Hartman et al. | 705 | 26 | | |
| | 4W | 5,961,593 | 10/05/1999 | Gabber et al. | 709 | 219 | | |
| | 4X | 5,963,917 | 10/05/1999 | Ogram | 705 | 26 | | |
| | 4Y | 5,963,924 | 10/05/1999 | Williams et al. | 705 | 40 | | |
| | 4Z | 5,966,697 | 10/12/1999 | Ferguson et al. | 705 | 26 | | |
| | 4AA | 5,970,462 | 10/19/1999 | Reichert | 705 | 2 | | |
| | 4BB | 5,970,469 | 10/19/1999 | Scroggie et al. | 705 | 14 | | |
| | 4CC | 5,970,470 | 10/19/1999 | Walker et al. | 705 | 14 | | |
| | 4DD | 5,970,471 | 10/19/1999 | Hill | 705 | 26 | | |
| | NV | 4EE | 5,970,472 | 10/19/1999 | Allsop et al. | 705 | 26 | |
| | FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations |
| | | | | | | | YES | NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
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| EXAMINER | DATE CONSIDERED |
| <i>Adams</i> | <i>6/20/02</i> |
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| Form PTO-1449 | Filing Date | Group Art Unit |
| | July 31, 2001 | 2161 |

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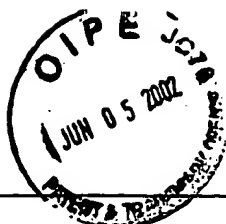
| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|--------------|------------|-------------------------|-------|----------|----------------------------------|
| N V | 5A | 5,970,473 | 10/19/1999 | Gerszberg <i>et al.</i> | 705 | 26 | |
| | 5B | 5,970,474 | 10/19/1999 | LeRoy <i>et al.</i> | 705 | 27 | |
| | 5C | 5,970,475 | 10/19/1999 | Barnes <i>et al.</i> | 705 | 27 | |
| | 5D | 5,970,480 | 10/19/1999 | Kalina | 705 | 37 | |
| | 5E | 5,971,273 | 10/26/1999 | Vallaire | 235 | 381 | |
| | 5F | 5,978,780 | 11/02/1999 | Watson | 705 | 40 | |
| | 5G | 5,978,840 | 11/02/1999 | Nguyen <i>et al.</i> | 709 | 217 | |
| | 5H | 5,979,757 | 11/09/1999 | Tracy <i>et al.</i> | 235 | 383 | |
| | 5I | 5,983,199 | 11/09/1999 | Kaneko | 705 | 2 | |
| | 5J | 5,983,200 | 11/09/1999 | Slotznick | 705 | 26 | |
| | 5K | 5,983,207 | 11/09/1999 | Turk <i>et al.</i> | 705 | 39 | |
| | 5L | 5,983,208 | 11/09/1999 | Haller <i>et al.</i> | 705 | 40 | |
| | 5M | 5,987,132 | 11/16/1999 | Rowney | 380 | 24 | |
| | 5N | 5,991,739 | 11/23/1999 | Cupps <i>et al.</i> | 705 | 26 | |
| | 5O | 5,991,740 | 11/23/1999 | Messer | 705 | 26 | |
| | 5P | 5,995,943 | 11/30/1999 | Bull <i>et al.</i> | 705 | 14 | |
| | 5Q | 5,996,076 | 11/30/1999 | Rowney <i>et al.</i> | 713 | 201 | |
| | 5R | 5,999,625 | 12/07/1999 | Bellare <i>et al.</i> | 380 | 24 | |
| | 5S | 5,999,914 | 12/07/1999 | Blinn <i>et al.</i> | 705 | 26 | |
| | 5T | 6,002,767 | 12/14/1999 | Kramer | 380 | 24 | |
| | 5U | 6,002,771 | 12/14/1999 | Nielsen | 380 | 49 | |
| | 5V | 6,006,201 | 12/21/1999 | Berent <i>et al.</i> | 705 | 27 | |
| | 5W | 6,006,225 | 12/21/1999 | Bowman <i>et al.</i> | 707 | 5 | |
| | 5X | 6,009,411 | 12/28/1999 | Kepecs | 705 | 14 | |
| | 5Y | 6,009,412 | 12/28/1999 | Storey | 705 | 14 | |
| | 5Z | 6,009,413 | 12/28/1999 | Webber <i>et al.</i> | 705 | 26 | |
| | 5AA | 6,012,038 | 01/04/2000 | Powell | 705 | 14 | |
| | 5BB | 6,012,039 | 01/04/2000 | Hoffman <i>et al.</i> | 705 | 14 | |
| | 5CC | 6,012,045 | 01/04/2000 | Barzilai <i>et al.</i> | 705 | 37 | |
| | 5DD | 6,014,634 | 01/11/2000 | Scroggie <i>et al.</i> | 705 | 14 | |
| | 5EE | 6,014,641 | 01/11/2000 | Loeb <i>et al.</i> | 705 | 34 | |

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| NV | 6A | 6,014,644 | 01/11/2000 | Erickson | 705 | 37 | |
| | 6B | 6,014,646 | 01/11/2000 | Vallee et al. | 705 | 39 | |
| | 6C | 6,016,504 | 01/18/2000 | Arnold et al. | 709 | 200 | |
| | 6D | 6,018,718 | 01/25/2000 | Walker et al. | 705 | 14 | |
| | 6E | 6,018,724 | 01/25/2000 | Arent | 705 | 44 | |
| | 6F | 6,021,398 | 02/01/2000 | Ausubel | 705 | 37 | |
| | 6G | 6,026,376 | 02/15/2000 | Kenney et al. | 705 | 27 | |
| | 6H | 6,026,379 | 02/15/2000 | Haller et al. | 705 | 34 | |
| | 6I | 6,029,141 | 02/22/2000 | Bezos et al. | 705 | 27 | |
| | 6J | 6,032,130 | 02/29/2000 | Alloul et al. | 705 | 27 | |
| | 6K | 6,058,373 | 05/02/2000 | Blinn et al. | 705 | 26 | |
| | 6L | 6,091,417 | 07/18/2000 | Lefkowitz | 345 | 357 | |
| | 6M | 6,101,482 | 08/08/2000 | DiAngelo et al. | 705 | 26 | |
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

SYSTEM AND METHOD OF DATA EXCHANGE FOR
ELECTRONIC TRANSACTIONS WITH MULTIPLE
SOURCES

Application Number: 09/917810 
Confirmation Number: 1440
First Named Applicant: Michael BRUCE
Attorney Docket Number: 23512-006-401
Art Unit: 3629
Examiner: Edward R. Cosimano
Search string: (6405176).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

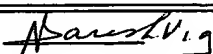
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|----------|------|-------|----------|
| NV | 1 | 6405176 | 2002-06-11 | Toohey | | 705 | 26 |

Remarks

Note: Remarks are not for responding to an office action.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

Signature

| Examiner Name | Date |
|---|-----------|
|  | 6/20/2005 |